



Carrying  
Dealer  
Services

## Your Advisor, Your Dealer and MRS

Your advisor and dealer are dedicated to helping you achieve your investment objectives. To ensure you receive the highest level of service, they have partnered with MRS and selected the MRS platform for you.

The services provided by your advisor, your dealer and MRS are all integral to the success of your financial plan. How do their services differ?

### Your advisor

- Works with you to understand your investment objectives and financial needs
- Provides investment advice
- Regularly review reports and monitors your portfolio

### Your advisor's dealer

- Supervises your advisor to help ensure you receive suitable investment advice to meet your investment objectives

### MRS

- Executes the trades that you and your advisor agree upon
- Clears and settles the trades
- Provides custody services over the assets in your account
- Keeps the official books and records for your account
- Sends you various communications including confirmations, statements, and tax slips

The services provided by MRS are referred to as “carrying dealer” services. MRS has three carrying dealers, M.R.S. Inc., M.R.S. Securities Services Inc., and M.R.S. Correspondent Corporation and collectively they are one of the largest carrying dealers in Canada. We have more than \$24 billion in assets under administration and they provide services to more than 12,000 advisors.

### Segregation of fully paid securities

- MRS can't use your assets as a financing source for our business

### High regulation

- Each carrying dealer is registered with a different securities regulator that mirrors the registration of your advisor and dealer. M.R.S. Inc. is a member of the Mutual Fund Dealers Association of Canada (MFDA)
- Regulators require MRS to have sufficient capital, proficient people, and appropriate insurance

### Protection again insolvency

- Your assets are protected against loss due to the financial failure of MRS
- M.R.S. Inc. is protected by MFDA Investor Protection Corporation

### Strength of ownership

- MRS is owned by Mackenzie Financial Corporation, which is a subsidiary of IGM Financial, a Canadian publicly listed company and leader in financial services
- IGM's major shareholder is Power Financial Corporation



10128 MRS includes M.R.S. Inc. (an MFDA member), M.R.S. Securities Services Inc. (an IDA member, member CIPF) and M.R.S. Correspondent Corporation (an AMF dealer operating in Quebec)